

05/04/01

JC945 U.S. PAT.

05-07-01

A

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Please type a plus sign (+) inside this box → ⊕

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SAVI-001

First Inventor Xi Li

Title SUPPLY CHAIN VISIBILITY...

Express Mail Label No. EL839726113US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages {72}]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets {12}]

5. Oath or Declaration [Total Pages {1}]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
- i. ☐ **DELETION OF INVENTOR**
Signed statement attached deleting named in the prior application, see 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ CFR 3.73(b) Statement of Invention (if there is an assignee) ☐ Power of Attorney
- Translation Document (if applicable)
- Disclosure Document (IDS)/PTO-1449 ☐ Copies of IDS Citations
- Preliminary Amendment
- Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

17. If a CONTINUING APPLICATION, check the appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.53(b).

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.:

Prior application information:

Examiner:

Group / Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☒ Correspondence address belowName THELEN REID & PRIEST LLP
P. O. Box 640640

Address

City San Jose State CA Zip Code 95164

Country USA Telephone 408-292-5800 Fax 408-287-8040

Name (Print/Type) Marc S. Hanish

Registration No. (Attorney/Agent) 42,626

Signature

Date 5/14/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Xi Li; Keng-shao Chang, Abhijit A. Deshpande, Thomas Alan Greene and Darren Jeffrey Hakeman

SERIAL NO.: (not yet assigned) This application is a non-provisional patent application of provisional application Serial No.: 60/254,125 filed December 7, 2000.

FILING DATE: April 5, 2001

TITLE: SUPPLY CHAIN VISIBILITY FOR REAL-TIME TRACKING OF GOODS

EXAMINER: (not yet assigned)

ART UNIT: (not yet assigned)

**BOX PATENT APPLICATION
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

TRANSMITTAL LETTER

Enclosed for filing please find the patent application for an invention entitled, "SUPPLY CHAIN VISIBILITY FOR REAL-TIME TRACKING OF GOODS", filed on behalf of SAVI TECHNOLOGY, INC., assignee from inventor(s) Xi Li, Keng-shao Chang, Abhijit A. Deshpande, Thomas Alan Greene and Darren Jeffrey Hakeman, including Utility Patent Application Transmittal, 60 page(s) of specification, 11 page(s) of claims, 12 sheet(s) of drawing figures, and 1 page(s) of Abstract. Also enclosed herewith is the unexecuted Declaration and Power of Attorney form.

The attorney's Docket Number is SAVI-001.

No filing fee is being submitted at this time.

Please address all communications regarding this application to:

David B. Ritchie
THELEN REID & PREIST LLP
P.O. Box 640640
San Jose, CA 95164-0640
Telephone (408) 292-5800

Respectfully submitted,
THELEN REID & PREIST LLP

Paul H. Smith

Marc S. Hanish
Reg. No. 42,626

Dated: May 4, 2001

THELEN REID & PRIEST LLP
P.O. Box 640640
San Jose, CA 95164-0640
(408) 292-5800

[illegible]